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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Declaration Submitted With Initial Filing

OR

Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	11321-P077WOUS
First Named Inventor	RICHARD E. SMALLEY ET AL.
COMPLETE IF KNOWN	
Application Number	10/575,352
Filing Date	OCTOBER 14, 2004
Art Unit	UNKNOWN
Examiner Name	UNKNOWN

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AMPLIFICATION OF CARBON NANOTUBES VIA SEEDED-GROWTH METHODS

(Title of the Invention)

the specification of which

is attached hereto

OR

was filed on (MM/DD/YYYY) October 14, 2004 as United States Application Number or PCT International

Application Number PCT/US2004/34002 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
N/A			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: The address associated with Customer Number: 61060 OR Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Email

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Richard E.

Smalley, Deceased

Inventor's Signature

Date

Residence: City

State

Country

Citizenship

Houston

TX

US

US

Mailing Address
1816 Bolsover Street

City

State

Zip

Country

Houston

TX

77005

US

Additional inventors or a legal representative are being named on the 4

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

**SUPPLEMENTAL DECLARATION UNDER 37 C.F.R. § 1.42
FOR SIGNING BY LEGAL REPRESENTATIVE ON
BEHALF OF DECEASED INVENTOR**

I, Irene M. Marek, hereby declare that I am a citizen of the United States, residing at 3 Stagestop Circle, Houston, Texas 77024. I further declare that I have been appointed as a legal representative of Richard E. Smalley, who passed away on October 28, 2005, as I am named as executrix in his will. Upon information and belief, I aver those facts that he is required to state in order to apply for a patent or allowance of any patent application in which he is listed as an inventor. Further, it is my understanding that this patent application in which Richard E. Smalley is listed as an inventor is to be assigned to Rice University, his employer since 1976, pursuant to the Rice University Policy.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 8/10/07



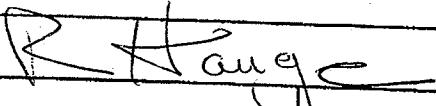
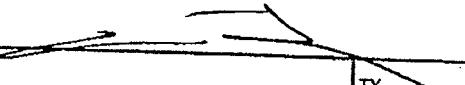
Irene M. Marek
Legal Representative of Richard E. Smalley

Houston_1\924196\1
11321-P077WOUS 7/24/2007

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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet

Page 3 of 6

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Robert H.		Family Name or Surname Hauge	
Inventor's Signature 		Date 3/12/2007	
Residence: City 4031 Turnberry Circle	State TX	Country US	Citizenship US
Mailing Address			
Houston City	TX State	77025 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Andrew R.		Family Name or Surname Barron	
Inventor's Signature 		Date 2/15/07	
Residence: City 241 Astbury	State TX	Country US	Citizenship GB
Mailing Address			
Houston City	TX State	77007 Zip	US Country
Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) James M.		Family Name or Surname Tour	
Inventor's Signature 		Date	
Residence: City 4625 Spruce Street	State TX	Country US	Citizenship US
Mailing Address			
Bellaire City	TX State	77401 Zip	US Country

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Page 4 of 6

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Howard K.		Schmidt	
Inventor's Signature	<i>Howard K Schmidt</i>		
Cypress Residence: City	TX State	US Country	US Citizenship
20702-Bradford Creek Court <i>20703</i>			
Mailing Address			
Cypress City	TX State	77433 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
W. Edward		Billups	
Inventor's Signature	<i>W. Edward Billups</i>		
Houston Residence: City	TX State	US Country	US Citizenship
6416 Rutgers			
Mailing Address			
Houston City	TX State	77005 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Christopher A.		Dyke	
Inventor's Signature			
Humble Residence: City	TX State	US Country	US Citizenship
19611 Spoonwood Drive			
Mailing Address			
Humble City	TX State	77346 Zip	US Country

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DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Page 4 of 6

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Howard K.		Family Name or Surname Schmidt	
Inventor's Signature Cypress Residence: City 20702 Bradford Creek Court		Date	
Cypress City	TX State	US Country	US Citizenship
Mailing Address			
Houston Residence: City 6416 Rutgers	TX State	77433 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) W. Edward		Family Name or Surname Billups	
Inventor's Signature Houston Residence: City 6416 Rutgers		Date	
Houston City	TX State	US Country	US Citizenship
Mailing Address			
Humble Residence: City 19611 Spoonwood Drive	TX State	77005 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Christopher A.		Family Name or Surname Dyke	
Inventor's Signature <i>[Signature]</i>		Date 2-15-2007	
Humble Residence: City 19611 Spoonwood Drive	TX State	US Country	US Citizenship
Mailing Address			
Humble City	TX State	77346 Zip	US Country

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Supplemental Sheet

Page 5 of 6

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) <i>Valerie C.</i>		Family Name or Surname Moore	
Inventor's Signature			
Houston Residence: City 2255 Braeswood Park Dr., No. 139	TX State	US Country	US Citizenship
Date <i>03-19-2007</i>			
Mailing Address			
Houston City	TX State	77030 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) <i>Elizabeth</i>		Family Name or Surname Whitsitt	
Inventor's Signature			
Houston Residence: City 806 LaMonte Lane	TX State	US Country	US Citizenship
Date			
Mailing Address			
Houston City	TX State	77018 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) <i>Robin A.</i>		Family Name or Surname Anderson	
Inventor's Signature			
Houston Residence: City 7499 Brompton Road, No. 172	TX State	US Country	US Citizenship
Date			
Mailing Address			
Houston City	TX State	77025 Zip	US Country

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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Valerie C.		Family Name or Surname Moore	
Inventor's Signature Houston Residence: City 2255 Braeswood Park Dr., No. 139		Date	
TX State	US Country	US Citizenship	
Mailing Address			
Houston City	TX State	77030 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Elizabeth		Family Name or Surname Whitsitt	
Inventor's Signature Elizabeth Whitsitt		Date 1/04/07	
TX State	US Country	US Citizenship	
Mailing Address			
Houston City	TX State	77018 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Robin A.		Family Name or Surname Anderson	
Inventor's Signature		Date	
TX State	US Country	US Citizenship	
Houston Residence: City 7490 Brompton Road, No. 172			
Mailing Address			
Houston City	TX State	77025 Zip	US Country

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DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Valerie C.		Family Name or Surname Moore	
Inventor's Signature		Date	
Houston Residence: City	TX State	US Country	US Citizenship
2255 Braeswood Park Dr., No. 138			
Mailing Address			
Houston City	TX State	77030 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Elizabeth		Family Name or Surname Whitsitt	
Inventor's Signature		Date	
Houston Residence: City	TX State	US Country	US Citizenship
806 LaMonte Lane			
Mailing Address			
Houston City	TX State	77018 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Robin E.		Family Name or Surname Anderson	
Inventor's Signature <i>Robin Anderson</i>		Date 13 Apr 07	
Houston Residence: City	TX State	Ontario Country	Canada US Citizenship
7490 Bloor Street, No. 172			
Mailing Address 100 Gloucester St #803			
Houston City	TX State	77006-1447 Zip	ON Country
Toronto Canada			

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet
Page <u>6</u> of <u>6</u>		

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Ramon		Colorado, Jr.	
Inventor's Signature			Date <u>4/15/07</u>
Houston Residence: City	TX State	US Country	US Citizenship
5104 Irving Way			
Mailing Address			
Houston City	TX State	77087-3629 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Michael P.		Stewart	
Inventor's Signature			Date
Houston Residence: City	TX State	US Country	US Citizenship
2829 Lockett Street			
Mailing Address			
Houston City	TX State	77021 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Douglas C.		Ogrin	
Inventor's Signature			Date
Houston Residence: City	TX State	US Country	US Citizenship
2825 Bellefontaine, No. 240A			
Mailing Address			
Houston City	TX State	77025 Zip	US Country

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DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Page 6 of 6

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Ramon		Family Name or Surname Colorado, Jr.	
Inventor's Signature Houston Residence: City 5104 Irving Way		Date	
Houston City	TX State	US Country	US Citizenship
Mailing Address			
Houston City	TX State	77087-3629 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Michael R. <i>Michael P.</i>		Family Name or Surname Stewart	
Inventor's Signature Houston Residence: City 2829 Lockett Street	<i>Michael P. Stewart</i>	Date <i>31-Jan-07</i>	
Mountain View City	CA State	US Country	US Citizenship
Mailing Address <i>392 N. Rengstorff Ave. Apt. 2</i>			
Houston City	TX State	77021-9403 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Douglas A.		Family Name or Surname Ogrin	
Inventor's Signature Houston Residence: City 2825 Bellefontaine, No. 240A			Date
City	TX State	US Country	US Citizenship
Mailing Address			
Houston City	TX State	77025 Zip	US Country

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DECLARATION**ADDITIONAL INVENTOR(S)
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Given Name (first and middle (if any))		Family Name or Surname	
Ramon		Colorado, Jr.	
Inventor's Signature		Date	
Houston Residence: City	TX State	US Country	US Citizenship
5104 Irving Way			
Mailing Address			
Houston City	TX State	77087-3629 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Michael P.		Stewart	
Inventor's Signature		Date	
Houston Residence: City	TX State	US Country	US Citizenship
2829 Lockett Street			
Mailing Address			
Houston City	TX State	77021 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Douglas C.		Ogrin	
Inventor's Signature		Date 3-14-07	
Houston Residence: City	TX State	US Country	US Citizenship
2825 Bellefontaine, No. 240A			
Mailing Address			
Houston City	TX State	77025 Zip	US Country

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